

# INTERNATIONAL HYDROGRAPHIC ORGANIZATION MESO AMERICAN & CARIBBEAN SEA HYDROGRAPHIC COMMISSION



#### **CAPACITY BUILDING PLAN**

Programme document for the period 2018-2020

#### 1. INTRODUCTION

#### 1.1. Rationale

It is estimated that over 30% of the world's crude oil passes through the Caribbean which is home to over 50% of the world's cruise shipping. In addition, the Caribbean endures a hurricane season from July to November; the storms can and do leave a trail of devastation on the islands and their coasts. For these reasons, it is crucial that SOLAS contracting Governments undertake hydrographic surveys as and when required, that they arrange for the compilation and publication of hydrographic data, the dissemination and keeping up to date of all nautical information necessary for safe navigation.

The IHO Capacity Building Strategy classifies the development of hydrographic services into three phases:

- those which are in Phase 1: Collection and circulation of nautical information, necessary to maintain existing charts and publications up to date;
- those which are in Phase 2: Creation of a surveying capability to conduct coastal and offshore projects; and
- those which are in Phase 3: Produce paper charts, ENC and publications independently.

An important and complementary element of hydrographic capacity building is the development of a mature infrastructure for Maritime Safety Information (MSI) and such an infrastructure sits firmly in Phase 1.

Coastal/maritime states have certain treaty obligations (SOLAS) placed on them and the IHO/MACHC effort aims at assisting states in meeting these obligations. To achieve this a national understanding and coordination effort is required noting that:

- resources (human, time, finance etc) are limited, consequently prioritization is a fundamental issue:
  - planning must be realistic;
- longer term training such as CAT A or B are not covered because such training is out of the scope of the IHO CB budget.

Nowadays, the rapidly evolving technology has replaced old navigation paradigms and demands continuous investments in education and training so that the Hydrographic Services can continue to provide high quality products and services which satisfy new demands of the maritime community.

MACHC is aware of its Member States' efforts to provide quality service to the international maritime community in order to contribute to the safety and security of navigation and human life at sea as well as the preservation of the environment in its region and, as part of the IHO community, to contribute to the achievement of the objectives and directions of the Organization. This document provides the MACHC Capacity Building plan to support those efforts.

#### 1.2. Aims and objectives

The overall aims of the Plan are:

a) to train staff, at various levels, to ensure a much needed capability on MSI, hydrography and nautical cartography, particularly after natural disaster or other incidents which could affect water depths in harbours and approaches; and

b) to comply with the IHO resolutions and guidelines regarding MSI, hydrographic and nautical cartographic activities.

The specific objectives of this Plan are:

- a) to ensure a basic level of MSI is established in all coastal states to, produce Local/Coastal/NAVAREA Warnings, communicate effectively with the charting authority and implement the MSI elements of GMDSS;
- b) to instruct staff in the region on the methods of carrying out hydrographic surveys, to improve safety of navigation through enhanced navigational products;
- c) to promote the establishment of Hydrographic Services (HS) and the evolution of CB Phases of the established ones.

#### 1.3. Priorities

Despite the breadth of need existing in the Region, for the period of 2018 to 2020, priorities should be set in the sequence of the following list, the first of which are the highest:

- 0 activities which may promote awareness of national MSI and hydrographic obligations;
- 1 activities which may improve the capacity of existing HS in Phase 1, including MSI-activities:
  - 2 activities which may improve the capacity of existing HS in Phase 2; and
  - 3 activities which may improve the capability of existing HS in Phase 3.

Note the link between the training activities listed in paragraph 2. Activities below, and phases 0 to 3 listed above

The current hydrographic capacity status of countries/territories of the region is in Annex  ${\bf A}$ .

### 1.4. Methodology and Procedures

This Plan will be reviewed each year, and adjustments made as necessary.

Each year the Commission will decide responsibilities for the programmed events of the subsequent year.

The MACHC Capacity Building Coordinator will send to the Chair, no later than January  $31^{st}$  of each year details of all planned projects. The projects must be written in the standards established by the IHO CBSC (see Annex  $\underline{\mathbf{B}}$ ).

Projects supported by IHO CB Fund must follow the IHO CBSC procedures published at the IHO website.

The Chair will check the proposed projects and, if requesting IHO CB Fund support, will send them to the IHO CBSC Chair and Secretary no later than MARCH 15<sup>th</sup>, otherwise, will take the appropriate action.

#### 2. Activities

| Phase | Activity                                     | Project Objective   | Target Audience  |  |
|-------|--|---|--|--|
|       | Technical and Advisory Visits                |   |  |  |
| 0.1   | High level visit to governmental authorities | To raise government awareness of their SOLAS treaty obligations                               | Related Ministries<br>and Heads of<br>National<br>Agencies,<br>particularly<br>governmental<br>decision makers |  |
| 0.2   | Technical assessment and advice visit        | Provide advice to identify how coastal states meet their hydrographic and MSI reponsibilities | Maritime Sector National Agencies. Stakeholders and  |  |

| Phase | Activity  | Project Objective   | Target Audience   |
|-------|---|---|---|
|       |   | -   | decision makers   |
| 0.3   | Technical<br>Implementation Visit   | To audit the state of recommendations made as a result of previous technical visits   | Maritime Sector National Agencies. Stakeholders and decision makers |
| 0.4   | Seminar on Raising<br>Awareness of<br>Hydrography   |   | Maritime Sector National Agencies. Stakeholders and decision makers |
|       | Technical Workshops, Seminars, Short Courses  |   |   |
| 1.1   | MSI Course (3 days) Training on establishment of MSI structure and basic MSI procedures                       | To establish a core group of trained persons to deal with MSI   | MSI Practioners   |
| 1.2   | Phase 1 Skills (5 days) An introduction to the assessment and promulgation of navigationally significant data | To provide a core group with the skills and knowledge to assess and promulgate navigationally significant information to the wider maritime community (this course supports the MSI course) | MSI Practioners   |
| 1.3   | MSI Workshop (3 days)   | To reinforce the learning at 1.1 above  | MSI Practioners   |
| 2.1   | Basic Hydrographic<br>Survey Course (10<br>days)  | To provide awareness of national hydrography, hydrographic surveying and nautical cartography   | Maritime Sector<br>Decision Makers                                  |
| 2.2   | Port and Shallow Water<br>Survey Course (5 days)  | A workshop to aid exchange of information and ideas about the challenges faced by port and shallow water surveyors in the MACHC region  | Port Surveyors  |
| 2.3   | MBES Processing (5 days)  | To train a group of hydrographic surveyors the techniques required to post-process MBES data  | Hydrographic<br>Practioners   |
| 2.4   | MSDI and Database<br>Management (5 days)  | To give participants an understanding of spatial data infrastructures (SDI) including the importance and role of data management and databases  | Government<br>Planners  |
| 2.5   | Tides and Water Level<br>Workshop (5 days)  | To provide fundamental knowledge and understanding of tides and water level, and their applications for hydrographic surveying and mapping activities                                       | Hydrographic<br>Practioners   |
| 2.6   | Seabed Classification<br>Workshop (5 days)  | To provide a group of professionals with the skill and knowledge to use acoustic techniques to map extensive seabed surfaces and to determine the products of seabed mapping                | Hydrographic<br>Practioners   |
| 3.1   | Basic ENC and ENC<br>Production course (10  | To train a group of professionals with a practical introduction to S-57   | Cartographic Practioners  |

| Phase | Activity                         | Project Objective                    | Target Audience |
|-------|----------------------------------|--------------------------------------|-----------------|
|       | days)                            | data                                 |                 |
| 3.2   | ENC Production and QA            | To train a group of professionals to | Cartographic    |
|       | (5 days)                         | verify and validate S-57 data        | Practioners     |
| 4.1   | Law of the Sea                   | To teach participants the basic      | Maritime Sector |
|       | Workshop (5 days)                | technical principles applicable to   | Decision Makers |
|       |                                  | maritime boundary delimitation. The  |                 |
|       |                                  | delegates should be from technical   |                 |
|       |                                  | hydrographic or cartographic         |                 |
|       |                                  | backgrounds                          |                 |
| 4.2   | Tsunami inundation               | To improve the modelling and         | Maritime Sector |
|       | mapping workshop (5              | presentation of regional tsunami     | and emergency   |
| 4.0   | days)                            | inundation maps                      | planning        |
| 4.3   | Foundation Module of             | To provide participants with the     | Cartographic    |
|       | the Marine Cartography           | knowledge of cartographic basics     | Practioners     |
|       | & Data Assessment                | covering the underlying details of   |                 |
|       | (MCDA) CAT B Course<br>(3 weeks) | the nautical chart.                  |                 |
| 4.4   | Compilation Module of            | A highly practical module where the  | Cartographic    |
| 1.7   | the Marine Cartography           | student will compile into a database | Practioners     |
|       | & Data Assessment                | all the relevant nautical chart      | 1 Tablionoro    |
|       | (MCDA) CAT B Course              | content in compliance with IHO S-57  |                 |
|       | (5 weeks)                        | using CARIS S-57 Composer            |                 |
|       | (5 11 5 5 11 5)                  | software.                            |                 |
| 4.5   | Product Construction             | This module covers the production    | Cartographic    |
|       | Module of the Marine             | of an ENC base cell including ENC    | Practioners     |
|       | Cartography & Data               | validation and exchange set          |                 |
|       | Assessment (MCDA)                | creation using CARIS S-57            |                 |
|       | CAT B Course (2                  | Composer together with the           |                 |
|       | weeks)                           | production of a Paper Chart using    |                 |
|       |                                  | CARIS Paper Chart Composer.          |                 |
| 4.6   | Data Assessment                  | This module focuses on decision      | Cartographic    |
|       | Module of the Marine             | making and processing of new         | Practioners     |
|       | Cartography & Data               | information using software and       |                 |
|       | Assessment (MCDA)                | traditional checking processes.      |                 |
|       | CAT B Course (3                  |                                      |                 |
| 4.7   | weeks) Maintenance Module of     | Another highly practical module      | Cartographic    |
| 4.7   | the Marine Cartography           | which features Notice to Mariner     | Practioners     |
|       | & Data Assessment                | updating of digital and paper        | Tractioners     |
|       | (MCDA) CAT B Course              | products together with New Edition   |                 |
|       | (2 weeks)                        | maintenance of the ENC and Paper     |                 |
|       | (=)                              | Chart.                               |                 |
|       | Long Courses and                 |                                      |                 |
|       | <u>Programmes</u>                | <u> </u>                             |                 |
| HA    | Category "A"                     | A recognized CAT A level             | Hydrographic    |
|       | Hydrographic                     | Programme in accordance with IHO     | Managers        |
|       | Programme                        | Publication S-5 – Standards of       |                 |
|       |                                  | Competence for Hydrographic          |                 |
| НВ    | Category "B"                     | Surveyors A recognized CAT B level   | Hydrographic    |
| 110   | Hydrographic                     | Programme in accordance with IHO     | Practioners     |
|       | Programme                        | Publication S-5 – Standards of       | . radionoro     |
|       |                                  | Competence for Hydrographic          |                 |
|       |                                  | Surveyors                            |                 |
| CA    | Category "A" Nautical            | A recognized CAT A level             | Cartographic    |
|       | Cartography Programme            | Programme in accordance with IHO     | Managers        |
|       |                                  | Publication S-8 – Standards of       |                 |
|       |                                  | Competence for Nautical              |                 |
|       | <u> </u>                         | 1                                    | ı               |

| Phase | Activity              | Project Objective                                      | Target Audience |
|-------|-----------------------|--|-----------------|
|       |                       | Cartographers  |                 |
| СВ    | Category "B" Nautical | A recognized CAT A level                               | Cartographic    |
|       | Cartography Programme | Programme in accordance with IHO                       | Practioners     |
|       |                       | Publication S-8 – Standards of Competence for Nautical |                 |
|       |                       | Competence for Nautical<br>  Cartographers             |                 |
|       | On-the-job and        | Jan 12 graph 12 1                                      |                 |
|       | onboard training      |  |                 |
| OJ    | On-the-job training   |  |                 |
| OB    | Onboard training      |  |                 |
|       |                       |  |                 |
|       |                       |  |                 |
|       |                       |  |                 |
|       |                       |  |                 |

3. Capacity Building Program

The program of capacity building activities for the period 2018 – 2020 is detailed in Annex <u>C</u>.

0000000

### MACHC Counties/Territories Capacity Building Phase Stage

Reference: http://www.iho-ohi.net/mtg\_docs/CB/CBA\_TechnicalVisits.htm

|    | Country / Territory                      | NHC or<br>NHCC | CB<br>Phase 1 | CB<br>Phase<br>2 | CB Phase 3 | Last TV |
|----|--|----------------|---------------|------------------|------------|---------|
| 1  | Antigua & Barbuda                        | 2              | 4             | 1                | 3          | 2006    |
| 2  | Bahamas                                  | -1             | 2             | 1                | 3          | 2006    |
| 3  | Barbados                                 | 2              | 4             | 1                | 3          | 2006    |
| 4  | Belize                                   | 1              | 2             | 2                | 3          | 2011    |
| 5  | Brazil                                   | -1             | 4             | 4                | 4          | 2008    |
| 6  | Colombia                                 | -1             | 4             | 4                | 4          | N/R     |
| 7  | Costa Rica                               | -1             | 2             | 1                | 3          | 2011    |
| 8  | Cuba                                     | 1              | 4             | 4                | 4          | N/R     |
| 9  | Dominica                                 | -1             | 2             | 1                | 3          | 2006    |
| 10 | Dominican Republic                       | 1              | 2             | 1                | 3          | 2012    |
| 11 | El Salvador                              | 1              | 2             | 3                | 3          | 2017    |
| 12 | Grenada                                  | 0              | 4             | 1                | 3          | 2006    |
| 13 | Guatemala                                | -1             | 4             | 4                | 3          | 2010    |
| 14 | Guyana                                   | -1             | 4             | 2                | 3          | 2012    |
| 15 | Haiti                                    | -1             | 1             | 3                | 3          | 2009    |
| 16 | Honduras                                 | -1             | 1             | 4                | 3          | 2010    |
| 17 | Jamaica                                  | 2              | 4             | 1                | 3          | 2006    |
| 18 | Mexico                                   | -1             | 4             | 4                | 4          | N/R     |
| 19 | Netherlands - Antilles & Aruba (Leeward) | 2              | 4             | 4                | 4          | N/R     |
| 20 | Netherlands - Antilles<br>(Windward)     | 2              | 4             | 4                | 4          | N/R     |
| 21 | Nicaragua                                | -1             | 2             | 4                | 3          | 2005    |
| 22 | Panama                                   | 1              | 4             | 4                | 3          | 2005    |
| 23 | St. Kitts & Nevis                        | 1              | 4             | 1                | 3          | 2006    |
| 24 | St. Lucia                                | -1             | 2             | 1                | 3          | 2006    |
| 25 | St. Vincent & Grenadines                 | 0              | 4             | 1                | 3          | 2006    |
| 26 | Suriname                                 | 2              | 4             | 4                | 3          | 2008    |
| 27 | Trinidad & Tobago                        | -1             | 2             | 1                | 3          | 2006    |
| 28 | UK - Anguilla                            | 1              | 4             | 3                | 3          | 2006    |
| 29 | UK – Bermuda                             | -1             | 2             | 3                | 3          |         |
| 30 | UK - British Virgin                      | -1             | 2             | 3                | 3          | 2006    |
| 31 | UK - Cayman                              | -1             | 2             | 3                | 3          | 2006    |
| 32 | UK - Montserrat                          | 2              | 2             | 3                | 3          | 2006    |
| 33 | UK - Turks & Caicos                      | -1             | 2             | 3                | 3          | 2006    |
| 34 | USA - Navassa                            | 2              | 4             | 4                | 4          | N/R     |
| 35 | USA - Puerto Rico & US<br>Virgin         | 2              | 4             | 4                | 4          | N/R     |
| 36 | United States of America                 | 2              | 4             | 4                | 4          | N/R     |

| 37 Venezuela | ? | 4 | 4 | 4 | N/R |
|--------------|---|---|---|---|-----|
|--------------|---|---|---|---|-----|

#### **KEY**

1. The numerical grid below describes the status of the National Hydrographic Committee (NHC)/National Hydrographic Coordination Committee (NHCC):

| Value | Assessment   |
|-------|--|
| -1    | No information available                                 |
| 0     | The country does not have a NHC/NHCC                     |
| 1     | The country is in the process of establishing a NHC/NHCC |
| 2     | The country has established a NHC/NHCC                   |

2. The numerical grid below applies to the Phases:

| Value | Assessment   |
|-------|--|
| -1    | No information available   |
| 0     | The country is unaware of its national obligations                 |
| 1     | The country is aware of its national obligations but does not      |
|       | have the means to do it  |
| 2     | The country has the ability to fulfil national obligations         |
| 3     | The country fulfils its national obligations through a third party |
| 4     | The country fulfils its national obligations in a sustainable      |
|       | manner   |

Note: the assessment represented by 3 is an alternative to 4 as explained in the IHO's Capacity Building Strategy

3. Those coastal states with a mature hydrographic service and consequently don't require a technical visit are marked as N/R (not required)



### PROJECT SUBMISSION MODEL

| <u>IDENTIFICATION</u>                                   | Project Number :                                   |
|---|--|
|   |  |
| Project Name:   |  |
| <b>Submitting RHC/Country:</b>                          |  |
| Date:   |  |
| Institution executing the                               |  |
| project:  |  |
| Name of responsible:                                    |  |
| Address:  |  |
| Telephone:  |  |
| Fax:  |  |
| e-mail:   |  |
| GENERAL SPECIFICATION (Please provide detailed informat | <u>S</u> ion in Annex of no more than three pages) |
| Background information                                  |  |
| Justification of the project                            |  |
|   |  |
| Countries involved                                      |  |
| Exposition of the problem                               |  |
| General objective                                       |  |
| Specific objectives                                     |  |
| Outputs/Products  |  |
| Other deliverables                                      |  |
| Achievements and awaited                                |  |
| benefits  |  |
| Caladala et all' 'l'                                    |  |
| Schedule of activities                                  |  |
| RESOURCES   |  |
| Contribution<br>by countries<br>involved                |  |
| Contribution  |  |

| by other          |  |
|-------------------|--|
| parties           |  |
| Contribution      |  |
| expected from     |  |
| CBCFund           |  |
| <b>Total Cost</b> |  |
| (euros)           |  |
| Breakdown of      |  |
| costs             |  |
|                   |  |
| From CBC          |  |
| Fund (item        |  |
| and amount)       |  |

### PROJECT SUMMARY

| Sponsor<br>RHC | Year of<br>Execution | Country/<br>Countries<br>involved | Priority/<br>Status | Project<br>Name | Project<br>Objective | Benefits | Assistance required | Cost | Allocation and<br>Priority (to be<br>filled by CBC) | Contact<br>Person |
|----------------|----------------------|-----------------------------------|---------------------|-----------------|----------------------|----------|---------------------|------|---|-------------------|
|                |                      |                                   |                     |                 |                      |          |                     |      |   |                   |

Name and Signature of the RHC Chairman .....

### **Annex C to CB Plan**

### **Capacity Building Program for the period 2018 – 2020**

### 2018

| Activity   | Beneficiaries<br>Countries /<br>Territories | Responsible             | Period  | Obs.   |
|--|---|-------------------------|---|--|
| Technical<br>Implementation<br>Visits  | Guatemala                                   | MACHC CB<br>Coordinator | End of 2018   |  |
| Tides and Water<br>Level Workshop for<br>Spanish Speakers<br>(5 days)        | For identified coastal states               | MACHC CB<br>Coordinator | This activity had a low CBSC prioritization and remained unfunded in 2018 | Suggest this activity is resubmitted in 2020 |
| MBES Processing (5 days)   | For identified coastal states               | MACHC CB<br>Coordinator | This activity had a low CBSC prioritization and remained unfunded in 2018 | Suggest this activity is resubmitted in 2020 |
| Hydrographic<br>Awareness<br>Seminar to precede<br>the main MACHC<br>meeting | For identified coastal states               | MACHC CB<br>Coordinator |   |  |
|  |   |                         |   |  |

### 2019

| Activity            | Beneficiaries<br>Countries /<br>Territories | Responsible | Period | Obs. |
|---------------------|---|-------------|--------|------|
| Technical           | For identified coastal                      | MACHC CB    |        |      |
| Implementation      | states                                      | Coordinator |        |      |
| Visits              |   |             |        |      |
| Phase 1 Skills (5   | For identified coastal                      | MACHC CB    |        |      |
| days)               | states                                      | Coordinator |        |      |
| ENC Production and  | For identified coastal                      | MACHC CB    |        |      |
| QA (5 days)         | states                                      | Coordinator |        |      |
| Hydrographic        | For identified coastal                      | MACHC CB    |        |      |
| Awareness Seminar   | states                                      | Coordinator |        |      |
| to precede the main |   |             |        |      |
| MACHC meeting       |   |             |        |      |

### 2020

| Activity   | Beneficiaries<br>Countries / Territories | Responsible          | Period | Obs.                                   |
|--|--|----------------------|--------|--|
| Technical<br>Implementation<br>Visits  | For identified coastal states            | MACHC CB Coordinator |        |  |
| MSDI and Database Management (5 days)  | For identified coastal states            | MACHC CB Coordinator |        |  |
| MSI Course (3 days)  | For identified coastal states            | MACHC CB Coordinator |        |  |
| Hydrographic<br>Awareness<br>Seminar to<br>precede the main<br>MACHC meeting | For identified coastal states            | MACHC CB Coordinator |        |  |
| Tides and Water<br>Level Workshop<br>for Spanish<br>Speakers (5<br>days)     | For identified coastal states            | MACHC CB Coordinator |        | See<br>explanation<br>in 2018<br>above |
| MBES Processing (5 days)   | For identified coastal states            | MACHC CB Coordinator |        | See<br>explanation<br>in 2018<br>above |

## Annex D to CB Plan

### **Table of Bilateral Arrangements between MACHC Countries**

| Country           | Bilateral Arrangements with the following countries                      |
|-------------------|--|
| Antigua & Barbuda | United Kingdom   |
| Bahamas           |  |
| Barbados          | United Kingdom   |
| Belize            | United Kingdom   |
| Brazil            | United Kingdom, France (Uruguay, Guyana, Suriname and Haiti in progress) |
| Colombia          | United Kingdom   |
| Costa Rica        |  |
| Cuba              | United Kingdom   |
| Dominica          |  |
| Dominican         |  |
| Republic          |  |
| El Salvador       | United Kingdom, United States of America                                 |
| Grenada           |  |
| France Prance     | United Kingdom, Brazil   |
| Guatemala         |  |
| Guyana            | United Kingdom   |
| Haiti             |  |
| Honduras          | United Kingdom   |
| Jamaica           | United Kingdom   |
| Mexico            | United Kingdom   |
| Netherlands       | United Kingdom, France   |
| Nicaragua         |  |

| Panama                      |   |
|-----------------------------|---|
| St. Kitts & Nevis           |   |
| St. Lucia                   |   |
| St. Vincent &               |   |
| Grenadines                  |   |
| Suriname                    | United Kingdom, France, , Brazil (in progress)  |
| Trinidad & Tobago           |   |
| United Kingdom              | Antigua & Barbuda, Barbados, Belize, Brazil, Colombia, Cuba, El<br>Salvador, France, Guyana, Honduras, Jamaica, Mexico, Netherlands,<br>United States of America, Venezuela |
| United States of<br>America | United Kingdom, France  |
| Venezuela                   | United Kingdom  |