

Proposal for Consideration by the Council

Application of ISO9001:2015 Quality Management Principles to the IHO Structure that entered into force on 6 November 2016

Submitted by:	NL
Executive Summary:	The new IHO structure has almost completed its first 3 years cycle. In line with ISO9001:2015 Quality Management (the Deming circle: Plan-Do-Check-Act) it now opportune for the Council to conduct a (limited) review of this new structure (Check) in order to identify possible improvements for decision making at A-3 (Act).
Related Documents:	The IHO Convention and its supporting Basic Documents IHO Resolution 12/2002 as amended, Planning Cycle ISO9001:2015 Quality Management Systems -- Requirements

Introduction

1. The new IHO structure entered into force with the required number of approvals for the Protocol of Amendments to the IHO Convention on 8 November 2016. This new structure is described in the IHO Convention and its supporting Basic Documents and other supporting documents, such as IHO resolution 12/2002. Many of these documents were written well before the actual initiation of the new structure. The IHO Convention itself dates back to 14 April 2005, almost 15 years ago.
2. With A2, the IHO will have completed the first 3 year cycle with the Council coming into existence. A main theme during the first operational cycle of the Council was to conduct a comprehensive review of the IHO Strategic plan.
3. This main theme proved very beneficial to focus the activities of the Council. It helped to ascertain the added value of the Council as an additional governance level within the IHO structure.

Discussion

4. For the second operational cycle of the Council, a main theme has yet to be identified. After the strategic focus of the first cycle, a business or organizational focus is a possibility.
5. Applying the Deming-circle (plan-do-check-act) to the new structure, in line with ISO9001:2015, the new IHO organizational structure is now at the stage 'Check'. The underpinning basic and supporting document documents have been written years ago: 'Plan'. The IHO has almost completed its first 3 year cycle working according to those plans: 'Do'.
6. So, it now seems opportune to reflect on the experiences gained and lessons identified. Are the roles and responsibilities of Council, HSCC and IRCC in balance? Can the Council for instance set priorities within the work programs of IRRC and HSCC, for example when there is a disconnect between a newly approved Strategic Plan and extant work programs? Is the Council effective as a governance level below the Assembly, allowing the Assembly itself to work more effectively? Can the Council for instance initiate tasks previously unforeseen by the Assembly? Is the IHO-S an improvement when compared to the former IHB?
7. This calls for a review of the governance and/or organizational aspects of the IHO; not a review with the aim to restructure the IHO again, but a review with the aim to optimize its workings within the current framework. This review is also relevant within the context of a new Strategic Plan (to be

presented for decision making at A-2). So, this review, as a natural characteristic of a leaning organization (ISO 9001), could be a suitable theme for the second operational cycle of the Council.

8. The findings of the (limited) review can subsequently be presented at A-3 for decision-making: 'Act'. However, the Council cannot give itself such a task: it needs to be tasked by the Assembly.

9. If this theme is, however, not accepted, then it is important to agree on another theme that generates the necessary focus in order to prove the added value of the Council. An example is to look at the link between the Budget and the strategic plan: does strategy drives expenditure? Or look at the remit of the council itself. Is it broad enough to make it worth the members while to commit their scares recourses to it; i.e. do we want to improve the effectiveness of the Council? In this light one could ask A-2 to give the Council an executive mandate for implementing the new Strategic plan.

Proposal

10. At the second meeting of the Assembly, the Council to request from the Assembly that the Assembly tasks the Council to (i) conduct a (limited) review of the new IHO Structure in line with ISO9001:2015 (specifically the Deming circle: Plan-Do-Check-Act); and, if deemed necessary, (ii) to provide proposals for amendments to any IHO basic documents, for decision-making at the third meeting of the Assembly.

11. Alternative. At the second meeting of the Assembly, the Council to request from the Assembly that the Assembly tasks the Council to (i) adopt [TBD] as a theme for 2020-2023 cycle and, if deemed necessary, (ii) to provide proposals for decision-making at the third meeting of the Assembly.

Impact

10. The conduct of the above proposed activities will result in additional commitment from and effort by Council members.

Action required of the Council

11. The Council is invited to:
- a. discuss and agree on the need to review the workings the new IHO Structure in line with ISO9001:2015 (specifically the Deming circle: Plan-Do-Check-Act);
 - b. approve the proposal on a (limited) review as formulated above;
 - c. if not accepted, formulate an alternative theme for the 2021-2023 cycle of the Council;
 - d. take note of the additional commitment and effort that such a review will require from Council members;
 - e. take any other actions that may be appropriate.