



NIOHC15-08

15th NORTH INDIAN OCEAN HYDROGRAPHIC COMMISSION MEETING

Muscat, Oman
16 - 18 March 2015

International Maritime Organisation (IMO) Member State Audit Scheme

The NIOHC is informing Member States of the changes to the IMO member state audit scheme as adopted by the IMO 26th Assembly.

Flag states have a responsibility as members of the IMO to comply with the Organization's Conventions to which they are party. The key obligations of flag states are set out in the Code for the Implementation of Mandatory IMO Instruments. Compliance with these obligations is currently tested by voluntary IMO Member State Audits.

IMO Member State Audit Scheme

“According to the plan adopted by the Assembly through resolution A.1018 (26), the IMO Member State Audit Scheme would be phased in as an institutionalized, mandatory scheme, through the introduction of appropriate requirements in the relevant mandatory IMO instruments. Amendments to these instruments would be adopted in 2013, for entry into force in January 2015. A resolution on the Framework and Procedures for the Scheme would also be adopted by the IMO Assembly in 2013, while preparatory work for the commencement of an institutionalized scheme would be carried out during 2014.

The Assembly urged Member States that have not yet volunteered for audits under the current, voluntary scheme to do so, so that lessons can continue to be learned from it. It also requested the Secretary-General to take action, within the Organization's Integrated Technical Co-operation Programme, to assist Member States to participate in the Scheme and with building capacity to address related needs.

The Assembly also adopted amendments to the Code for the Implementation of Mandatory IMO Instruments, 2007, which serves as the audit standard for the Voluntary IMO Member State Audit Scheme. The amendments update the Code, to take into account amendments to mandatory IMO instruments that have entered into force or become effective since it was last revised, in 2007”.

Following on from these resolutions the IMO has issued Circular 112/INF.3 detailing the schedule of mandatory audits for IMO member states.

C112/INF.3 is attached for information.

COUNCIL
112th session
Agenda item 5

C 112/INF.3
16 June 2014
ENGLISH ONLY

IMO MEMBER STATE AUDIT SCHEME

Audit schedule for the mandatory Scheme

Note by the Secretary-General

SUMMARY

Executive summary: This document provides the audit schedule for implementation of audits under the mandatory Scheme as developed by the Secretary-General in accordance with the set of principles contained in paragraph 4.1.1 of the Procedures for the IMO Member States audit (resolution A.1067(28), annex, part II)

Strategic direction: No related provisions

High-level action: No related provisions

Planned output: No related provisions

Action to be taken: Paragraph 3

Related documents: resolution A.1067(28), C 112/5/1

1 In accordance with the set of principles contained in paragraph 4.1.1 of the Procedures for the IMO Member States audit (resolution A.1067(28), annex, part II), the Secretary-General has determined the audit schedule for implementation of audits under the mandatory Scheme, based on a random drawing of the names of Member States and an Associate Member who have not completed an audit under the voluntary Scheme, followed by those Member States and Associate Members that have completed a voluntary audit in the order in which they were audited. The audit schedule, which is set out in the annex, presents the order of audits chronologically.

2 Based on the overall audit schedule, audits under the mandatory Scheme will be conducted at periodic intervals not exceeding seven years.

Action requested of the Council

3 The Council is requested to note the information contained in this document and its annex.

ANNEX
THE AUDIT SCHEDULE

1	Guinea-Bissau	47	Monaco
2	Guinea	48	Madagascar
3	Côte d'Ivoire	49	Vanuatu
4	Bosnia and Herzegovina	50	Bolivia (Plurinational State of)
5	Togo	51	Djibouti
6	Mauritania	52	Myanmar
7	Qatar	53	Guyana
8	Georgia	54	Suriname
9	Nepal	55	Ukraine
10	Serbia	56	Barbados
11	United Arab Emirates	57	Fiji
12	Albania	58	Brunei Darussalam
13	Angola	59	Samoa
14	Seychelles	60	Cook Islands
15	Nigeria	61	Colombia
16	Senegal	62	Saint Lucia
17	Sri Lanka	63	Lebanon
18	Paraguay	64	Turkmenistan
19	Papua New Guinea	65	Indonesia
20	Jordan	66	Austria
21	Somalia	67	Kenya
22	Egypt	68	Sudan
23	Yemen	69	Grenada
24	Hungary	70	Sao Tome and Principe
25	Mongolia	71	Equatorial Guinea
26	Ghana	72	Costa Rica
27	Kazakhstan	73	Sierra Leone
28	Macao, China ¹	74	San Marino
29	Gambia	75	Congo
30	Kiribati	76	Eritrea
31	Bahrain	77	Viet Nam
32	Oman	78	Portugal
33	Gabon	79	Algeria
34	Venezuela (Bolivarian Republic of)	80	United Republic of Tanzania
35	Democratic Republic of the Congo	81	Tuvalu
36	Azerbaijan	82	Comoros
37	Cape Verde	83	Uganda
38	Nicaragua	84	Croatia
39	Iraq	85	Zimbabwe
40	El Salvador	86	Iceland
41	Czech Republic	87	Tonga
42	Cambodia	88	Solomon Islands
43	Slovakia	89	Saint Vincent and the Grenadines
44	Cuba	90	Libya
45	Bangladesh	91	Saudi Arabia
46	Trinidad and Tobago	92	The former Yugoslav Republic of Macedonia

¹ Associate Member

93	Mozambique	135	Luxembourg
94	Kuwait	136	Singapore
95	Dominica	137	Bulgaria
96	Montenegro	138	Panama
97	Syrian Arab Republic	139	Romania
98	Guatemala	140	Malaysia
99	Haiti	141	Argentina
100	Palau	142	Brazil
101	Malawi	143	Russian Federation
102	Maldives	144	France
103	Mauritius	145	Ecuador
104	Benin	146	Philippines
105	Cameroon	147	China
106	Pakistan	148	Hong Kong, China ¹
107	Republic of Moldova	149	Poland
108	Dominican Republic	150	Ireland
109	Ethiopia	151	India
110	Namibia	152	Latvia
111	Democratic People's Republic of Korea	153	Estonia
112	Timor-Leste	154	Mexico
113	Denmark	155	Bahamas
114	Cyprus	156	Jamaica
115	United Kingdom	157	Lithuania
116	Spain	158	Iran (Islamic Republic of)
117	Marshall Islands	159	Malta
118	Sweden	160	Faroese ¹
119	Japan	161	Finland
120	Liberia	162	Honduras
121	Chile	163	Antigua and Barbuda
122	Republic of Korea	164	Israel
123	Greece	165	Peru
124	Canada	166	Switzerland
125	New Zealand	167	Slovenia
126	Netherlands	168	Morocco
127	Italy	169	Turkey
128	Thailand	170	Belize
129	Norway	171	Tunisia
130	Germany	172	Saint Kitts and Nevis
131	South Africa	173	Belgium
132	United States		
133	Uruguay		
134	Australia		