



SAFETY OF NAVIGATION CONFERENCE

Cape Town, South Africa, 29 August 2016

Session 2-4: IMO Audit



IMO Audit

IMO, as a specialized agency of the UN, has the responsibility to develop universal technical safety, security and pollution prevention standards relating to ships and shipping activities. Governments have the duty to implement and enforce these standards;

A universal approach had to be developed to review governments role in the implementation and enforcement of international shipping treaties. Thus, the Voluntary IMO Member State Audit Scheme could serve as the vehicle to establish and improve accountability amongst Member States of IMO with respect to their treaty(s) obligations.

IMO SG said: “rather than causing embarrassment to those to be audited by exposing their weaknesses, would instead bring both sides closer together - the one helping the other in pursuit of the common goals of enhanced safety and environmental protection.”



IMO Audit

October 2005, IMO decides to create a Voluntary Audit Scheme for IMO Member States. Member States could ask IMO to verify they are compliant with statutory instruments as SOLAS Chapter V rule 13.

Reference : IALA Guidelines N° 1054 and 1055

Since 1st January 2016 the Audit Scheme will become mandatory (IMO Resolution A.1018(26), 26th Assembly) .

The National Authorities will then be audited, in accordance with a seven years calendar



IMO Audit

For African countries, the list for 2016 and 2017 is as following:

| | | |
|------|----|----------------------------------|
| 2016 | 1 | Guinea-Bissau |
| | 2 | Guinea |
| | 3 | Côte d'Ivoire |
| | 5 | Togo |
| | 6 | Mauritania |
| | 13 | Angola |
| | 14 | Seychelles |
| | 15 | Nigeria |
| | 16 | Senegal |
| | 21 | Somalia |
| | 22 | Egypt |
| 2017 | 26 | Ghana |
| | 29 | Gambia |
| | 33 | Gabon |
| | 35 | Democratic Republic of the Congo |
| | 37 | Cape Verde |
| | 48 | Madagascar |



IMO Member State Audit Scheme (IMSAS)

Voluntary from October 2005,
it is now mandatory since 1st January 2016.

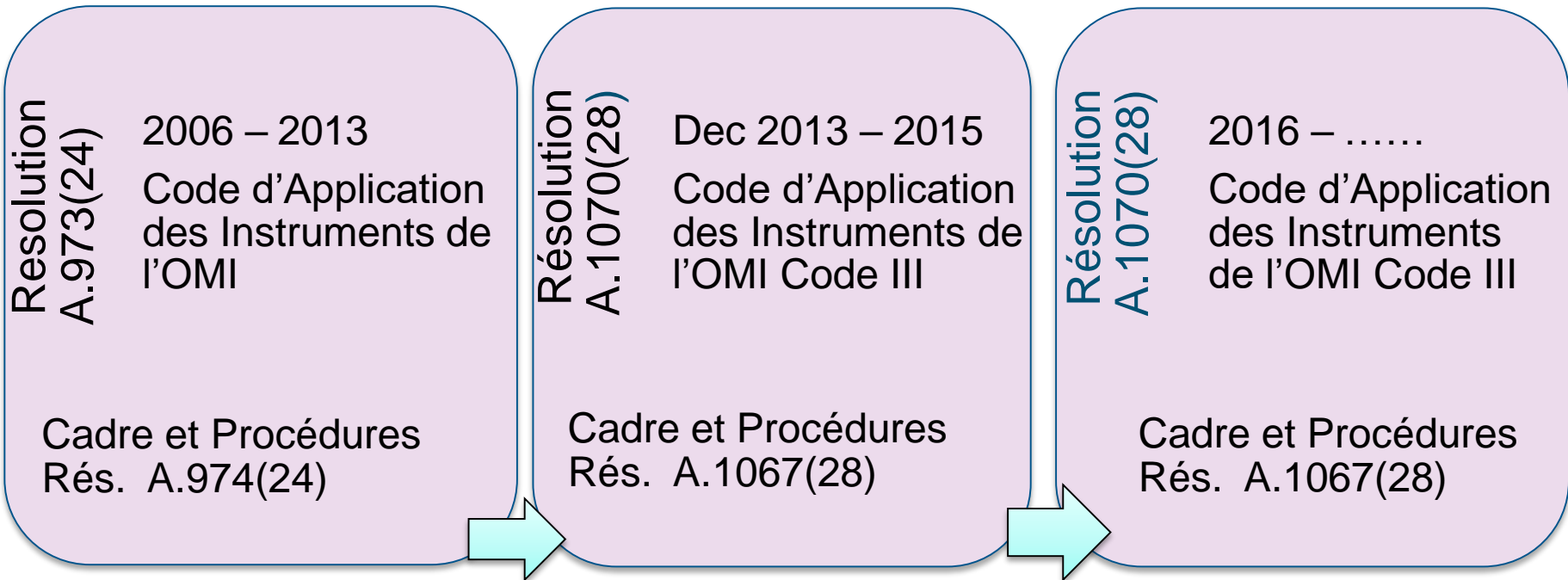
It includes conformity with SOLAS, COLREG, LL, MARPOL, STCW and Tonnage

Key IMO documents regarding IMSAS include:

- Resolution A.1067(28) on the Framework and Procedures for the IMO Member State Audit Scheme
- Resolution A.1070(28) on IMO Instruments Implementation Code (III Code)
- IMO Circular Letter No. 3425 - Auditor's Manual for the IMO Member State Audit Scheme (IMSAS)



IMO Audit system evolution





Audit Schedule for the Mandatory Scheme

C 112/INF.3 – 16 June 2014

The document provides the audit schedule for implementation of audits based on a random drawings of the names of Member States, starting by those having not been audited under the voluntary regime.

IALA GUIDELINE No. 1054

on

**Preparing for a Voluntary IMO
Audit**

on

Aids to Navigation Service Delivery
Edition 1
December 2006

IALA GUIDELINE No. 1115

on

**Preparing for IMO Member State
Audit Scheme (IMSAS)**

On

Vessel Traffic Services
Edition 1
December 2015



Thank you.

Questions?

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